

**Vice President, Compliance – Montreal – Job # 6000****Summary**

Canaccord Genuity Corp. ("CGC") Canada's leading independent investment firm is seeking to hire a VP, Compliance in Montreal. This position will contribute to the regulatory control of CGC by providing the highest standard of integrity for the firm and its clients and reports to the Chief Compliance Officer.

**Responsibilities**

- Performs duties of a very advanced or complex nature requiring strong judgment;
- Develop processes & procedures to remain compliant with regulatory requirements;
- Perform time-sensitive tasks quickly;
- Handle internal and external queries efficiently to promote business and in turn profitability;
- Document queries and the result of such for reference purposes in order to target and resolve potential deficiencies;
- Ability to handle client complaints;
- Providing direction and assistance in client mediation and arbitration;
- Monitors systems and methods, recommending necessary changes to improve the efficiency of the functions they manage;
- Manages a team of 4 – 6 Compliance and Credit Officers and Administrators.

**Skills and Qualifications**

- Must have strong problem solving skills and the ability to implement successful solutions;
- Strong organization, communication and prioritization skills;
- Preferably at least 5 years experience in the securities industry;
- Solid understanding of IDA regulations;
- Strong PC skills including Microsoft Office and DataPhile;
- Ability to work in a fast-paced environment;
- Ability to create and implement new processes and procedures;
- Highly adaptable and able to deal well with change;
- Security industry related courses required;
- Clearing and settlement background would be an asset.

Qualified applicants are invited to submit a resume and covering letter including salary expectations. All applications will be held in strict confidence.

Please e-mail your application to Human Resources at [nathalie.fournier@canaccord.com](mailto:nathalie.fournier@canaccord.com) and quote the job# in the title

We wish to thank all candidates for their interest but only those applicants selected for an interview will be contacted. No telephone calls or recruitment agencies will be accepted.

Thank you for your understanding.